Best Medical International, Inc. v. Varian Medical Systems, Inc., et al. Case No. 1:18-CV-01599-MN (D. Del.) Varian's Invalidity Contentions – Exhibit A-1

Claim Chart Against U.S. Patent No. 6,038,283 ("'283 patent")

Charted Reference: Mark P. Carol, *Integrated 3-D Conformal Multivane Intensity Modulation Delivery System for Radiotherapy*, Proceedings of the XIth Int. Conference on the Use of Conformal Therapy on Mar. 20-24th, 172 (Mar. 1994) ("Carol 1994 Art. 1")

Carol 1994 Art. 1 qualifies as prior art to the '283 patent under at least 35 U.S.C. §§ 102(a) and/or 102(b).<sup>1</sup>

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[not asserted] 1. [pre] A method of determining an	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "A linear accelerator-based three-dimensional conformal radiation therapy planning and treatment system has been
optimized radiation beam arrangement for applying radiation to a tumor target volume	developed with particular applications in the fields of conformal radiation therapy, radiosurgery and stereotactic radiation therapy. Called Peacock, it is an approach to intensity modulation radiation therapy which is in several respects the mirror image of computed tomography (CT)." Carol 1994 Art. 1 at 172.
while minimizing radiation of a structure volume in a patient, comprising the steps of:	"The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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<sup>&</sup>lt;sup>1</sup> The excerpts cited herein are exemplary. For any claim limitation, Defendant may rely on excerpts cited for any other limitation and/or additional excerpts not set forth fully herein to the extent necessary to provide a more comprehensive explanation for a reference's disclosure of a limitation. Where an excerpt refers to or discusses a figure or figure items, that figure and any additional descriptions of that figure should be understood to be incorporated by reference as if set forth fully therein.

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[a] using a computer to computationally obtain a proposed radiation beam arrangement;	"A linear accelerator-based three-dimensional conformal radiation therapy planning and treatment system has been developed with particular applications in the fields of conformal radiation therapy, radiosurgery and stereotactic radiation therapy. Called Peacock, it is an approach to intensity modulation radiation therapy which is in several respects the mirror image of computed tomography (CT)." Carol 1994 Art. 1 at 172.  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or
[b] using a computer to computationally change the proposed radiation beam arrangement iteratively,	with any of the references identified in Appendix A of the Invalidity Contentions.  This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.

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	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] incorporating a cost function at each iteration to approach correspondence of a CDVH associated with the proposed radiation beam arrangement to a CDVH associated with a predetermined desired dose prescription; and	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] rejecting the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired prescription and accepting the change of the	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.

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proposed beam arrangement leads to a greater correspondence to the desired dose prescription to obtain an optimized radiation beam arrangement.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[not asserted] 2. [pre] The method of claim 1 wherein the cost function is obtained by the steps of:	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[a] determining a CDVH associated with the desired dose prescription;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b] assigning zones to each CDVH;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] assigning weights to each zone, applicable to the CDVHs associated	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment	

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with both the desired dose prescription and the proposed radiation beam arrangement;	planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] calculating a zone cost for each target and each structure, according to the following formula: $C_z = W_z * (A_p/A_d),$ where $C_z$ is the cost for the current zone, $W_z$ is the weight assigned to the current zone, $A_p$ is the area or length of the current zone of the proposed CDVH, and where $A_d$ is the area or length of the current zone of the desired CDVH;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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[e] calculating a target or structure cost for each target or structure, according to the following formula:	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
$C_T = \sum C_{z1} + C_{z2} + C_{z3} + \dots C_{zn}$ , and	
$C_S = \sum C_{z1} + C_{z2} + C_{z3} + \dots C_{zn},$	
where C <sub>S</sub> and C <sub>T</sub> are the cost for each structure or zone, and C <sub>z1</sub> , C <sub>z2</sub> , C <sub>z3</sub> , and C <sub>zn</sub> , are the costs calculated for each zone of the first, second, and third, through the nth zone of each target or structure; and	
[f] calculating a total cost for the change in the proposed radiation beam arrangement,	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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according to the following formula:	
$C_{Total} = C_S + C_T$ , where $C_{Total}$ is the total cost of the proposed change to the radiation beam arrangement.	
[not asserted] 5. The method of claim 2, wherein the proposed radiation beam arrangement is calculated using simulated annealing radiation therapy planning methods.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
6. The method of claim 1, further comprising the step of applying the optimized radiation	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "A linear accelerator-based three-dimensional conformal radiation therapy planning and treatment system has been developed with particular applications in the fields of conformal radiation therapy, radiosurgery and stereotactic

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beam arrangement to the patient with a conformal radiation	radiation therapy. Called Peacock, it is an approach to intensity modulation radiation therapy which is in several respects the mirror image of computed tomography (CT)." Carol 1994 Art. 1 at 172.
therapy apparatus.	"This nonuniform exposure is created by an electro-mechanical device, called MIMiC, which attaches to the wedge tray slot on the treatment machine. The MIMiC functionally narrows the beam coming from the accelerator down into two thin 'slices,' further dividing these 'slices' into 40 'smaller' beams, 20 for each slice (Figure 2). Each beam is defined by an 8 cm tall tungsten vane; each vane is powered by a miniature pneumatic piston controlled by a solenoid valve. Turning the valve on causes air to flow to the front side of the piston, which drives the vane out of the field. When the valve is turned off, constant air pressure applied to the backside of the piston drives the vane back into the field (60 – 80 millisecond movement in either direction). Each vane has an associated set of sensors which track absolute amount of movement as well as speed of movement in/out of the field." Carol 1994 Art. 1 at 172.  **Solenoids**  **pistons**  **solenoids**  **
	is an 'automated' one." Carol 1994 Art. 1 at 172.
	"Preliminary studies have shown a quantitative ability of the MIMiC to deliver the dose distributions predicted by Peacock Plan (Figures 3 and 4)." Carol 1994 Art. 1 at 173.

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	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
7. The method of claim 2, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 6.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
9. The method of claim 5, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 6 and 7.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
10. The method of claim 1, wherein the CDVH associated with the predetermined desired dose prescription is	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose prescription entered into the computer.	
12. The method of claim 2, wherein the CDVH associated with the predetermined desired dose prescription is computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 10.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
22. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to a tumor target volume	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1[pre].

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while minimizing radiation of a structure volume in a patient, comprising the steps of:	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using a computer to computationally obtain a proposed radiation beam arrangement;	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] using a computer to computationally change the proposed radiation beam arrangement iteratively,	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] incorporating a cost function at each iteration to approach correspondence of partial volume data associated with the proposed radiation beam arrangement to	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1[c].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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partial volume data associated with a pre- determined desired does prescription,	
[b2] wherein the proposed radiation beam arrangement is changed by changing the beam weights; and	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  "The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to
	avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] rejecting the change of the proposed radiation beam arrangement if	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1[d].
the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired prescription and accepting the	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a greater correspondence to the desired prescription to obtain an optimized radiation beam arrangement.	
23. The method of claim 22, wherein the partial volume data is entered directly in the computer.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
24. The method of claim 22, wherein the partial volume data is calculated by the computer based on CDVH graphically entered into the computer using a pointing device.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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25. [pre] An apparatus for determining an optimized radiation beam arrangement for applying radiation to a tumor target volume while minimizing radiation of a structure volume in a patient, comprising:	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[pre] and 22[pre].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] a computer, adapted to computationally obtain a proposed radiation beam arrangement,	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[a] and 22[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] the computer further adapted to computationally change the proposed radiation beam arrangement iteratively,	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[b] and 22[b1].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b2] wherein the proposed radiation beam arrangement is	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 22[b2].

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changed by changing the beam weights,	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] the computer further adapted to incorporate a cost function at each	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[c] and 22[c].
iteration to approach correspondence of partial volume data associated with the proposed radiation beam arrangement to partial volume data associated with a predetermined desired dose prescription, and	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] the computer further adapted to reject the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[d] and 22[d].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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desired dose prescription and to accept the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a greater correspondence to the desired does prescription to obtain an optimized radiation	Evidence of flivalidity
beam arrangement.  26. The apparatus of claim 25, wherein the partial volume data is represented as a CDVH.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
27. The apparatus of claim 25, further comprising: a conformal radiation therapy apparatus in communication with the computer for applying the optimized radiation	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 6, 7 and 9.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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beam arrangement to the patient.	
28. The apparatus of claim 27, wherein the partial volume data is represented as a CDVH.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 26.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 33. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to at least one tumor target volume while minimizing radiation to at least one structure volume in a patient, comprising the steps of:	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[pre], 22[pre], and 25[pre].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] distinguishing each of the at least one tumor target volume and each of the at least one	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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structure volume by target or structure type, wherein the target or structure types are distinguished as either Biologically Uniform or Biologically Polymorphic;	
[b] determining desired partial volume data for each of the at least one target volume and structure volume associated with a desired dose prescription;	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 23.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] entering the desired partial volume data into a computer;	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 23.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] in response to the desired partial volume data and in response	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:

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to the target or structure type of each of the at least one tumor target volume and each of the at least one structure volume, using the computer to computationally calculate an optimized radiation beam arrangement.	"The 3-D planning and viewing program (Peacock Plan) which creates the beam weights delivered by the MIMiC is an 'automated' one. Rather than verifying a user-designed program, as is the norm in conventional treatment planning, the system plays an active role in creating the plan. Peacock starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. Considered an 'inverse approach', an iterative process is used to determine a set of beam weights which, when projected into the treatment space, will deliver not only the prescribed dose to the identified target volume but also will keep the dose to avoidance and sensitive volumes below user-defined limits." Carol 1994 Art. 1 at 172.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
34. The method of claim 33, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 6, 7, 9, and 27.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 40. [pre] A method of determining an optimized radiation beam arrangement for applying radiation for at least one tumor	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[pre], 22[pre], 25[pre], 33[pre].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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target volume while minimizing radiation of at least one structure volume in a patient, comprising the steps of:		
[a] determining desired partial volume data for each of the at least one target volume and structure volume associated with a desired dose prescription;	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 33[b].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b] entering the desired partial volume data into a computer;	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 23 and 33[c].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] in response to the desired partial volume data, using the computer to computationally approximate desired	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[c], 22[c], and 25[c].	

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CDVHs for each of the at least one target and structure associated with the desired dose prescription; and	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] using the computer to computationally calculate the optimized radiation beam arrangement associated with the CDVHs approximated by the computer.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 1[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
42. The method of claim 40, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 6, 7, 9, 27, and 34.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 44. [pre] The method of claim 40, wherein the	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claim 40.

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CDVHs approximated by the computer are approximated by the steps of:	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using the computer to computationally obtain a set of proposed beam weights;	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[a], 22[a], and 25[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] using the computer to computationally change the set of proposed beam weights iteratively,	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 1[b], 22[b1], and 25[b1].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b2] incorporating a cost function at each iteration to determine a cost of the change to the set of proposed beam weights; and	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] rejecting the change to the set of	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:

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proposed beam weights if the change to the set of proposed beam weights leads to a lesser correspondence to the desired CDVHs and accepting the change to the set of proposed beam weights if the change to the set of proposed beam weights leads to a greater correspondence to the desired CDVHs.	See claims 1[c], 22[c], and 25[c].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
46. The method of claim 44, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 1. For example:  See claims 6, 7, 9, 27, 34, and 42.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 1, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Varian's Invalidity Contentions – Exhibit A-2

Claim Chart Against U.S. Patent No. 6,038,283 ("'283 patent")

Charted Reference: Mark P. Carol, et al., *An Automatic 3-D Conformal Treatment Planning System for Linear Accelerator Based Beam Modulation Radiotherapy*, Proceedings of the XIth Int. Conference on the Use of Conformal Therapy on Mar. 20-24th, 108 (Mar. 1994) ("Carol 1994 Art. 2")

Carol 1994 Art. 2 qualifies as prior art to the '283 patent under at least 35 U.S.C. §§ 102(a) and/or 102(b). 1

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<b>Claim Element</b>	Evidence of Invalidity
[not asserted] 1. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to a tumor target volume while minimizing radiation of a structure volume in a patient, comprising the steps of:	"Unlike this experience-based, trial-and-error approach to treatment planning, Peacock Plan starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. The approach and algorithms are similar to the ones used in computer tomography, the treatment beams are weighted so that when they are projected into the treatment space, they superimpose to give the desired dose prescription.  These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.

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<sup>&</sup>lt;sup>1</sup> The excerpts cited herein are exemplary. For any claim limitation, Defendant may rely on excerpts cited for any other limitation and/or additional excerpts not set forth fully herein to the extent necessary to provide a more comprehensive explanation for a reference's disclosure of a limitation. Where an excerpt refers to or discusses a figure or figure items, that figure and any additional descriptions of that figure should be understood to be incorporated by reference as if set forth fully therein.

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	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using a computer to computationally	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
obtain a proposed radiation beam arrangement;	"Unlike this experience-based, trial-and-error approach to treatment planning, Peacock Plan starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. The approach and algorithms are similar to the ones used in computer tomography, the treatment beams are weighted so that when they are projected into the treatment space, they superimpose to give the desired dose prescription.
	These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] using a computer to computationally	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
change the proposed radiation beam arrangement iteratively,	"Unlike this experience-based, trial-and-error approach to treatment planning, Peacock Plan starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution. The approach and algorithms are similar to the ones used in computer tomography, the treatment beams are weighted so that when they are projected into the treatment space, they superimpose to give the desired dose prescription.

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<b>Claim Element</b>	Evidence of Invalidity	
	These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] incorporating a cost function at each iteration to approach correspondence of a CDVH associated with the proposed radiation beam arrangement to a CDVH associated with a predetermined desired dose prescription; and	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  "These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.  "Optimisation of beam transmittance is performed by applying the simulated annealing algorithm based upon a cost function. Cost is the sum of weighted costs for each structure and target (the weights being specified by the user during prescription). For targets, cost is the mean-squared difference between realised dose and prescribed dose. For radiation-sensitive structures, cost is the mean-squared difference between realised dose and zero dose. The algorithm attempts to minimise the cost over the iterative process for each arc.	

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	The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] rejecting the change of the	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
proposed radiation beam arrangement if the change of the proposed radiation beam arrangement	"The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
leads to a lesser correspondence to the desired prescription and accepting the change of the proposed beam arrangement leads to a	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.

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greater correspondence to the desired dose prescription to obtain an optimized radiation beam arrangement.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[not asserted] 2. [pre] The method of claim 1 wherein the cost function is obtained by the steps of:	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 1.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[a] determining a CDVH associated with the desired dose prescription;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b] assigning zones to each CDVH;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] assigning weights to each zone, applicable to the CDVHs associated with both the desired dose prescription and	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  "Optimisation of beam transmittance is performed by applying the simulated annealing algorithm based upon a cost function. Cost is the sum of weighted costs for each structure and target (the weights being specified by the user during prescription). For targets, cost is the mean-squared difference between realised dose and prescribed dose.	

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the proposed radiation beam arrangement;	For radiation-sensitive structures, cost is the mean-squared difference between realised dose and zero dose. The algorithm attempts to minimise the cost over the iterative process for each arc.
	The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] calculating a zone cost for each target and each structure, according to the following formula:	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
$C_z = W_z * (A_p/A_d),$	
where $C_z$ is the cost for the current zone, $W_z$ is the weight	

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assigned to the current	
zone, $A_p$ is the area or	
length of the current	
zone of the proposed	
CDVH, and where $A_d$	
is the area or length of	
the current zone of the	
desired CDVH;	
[e] calculating a target or structure cost for	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
each target or	"Optimisation of beam transmittance is performed by applying the simulated annealing algorithm based upon a cost
structure, according to	function. Cost is the sum of weighted costs for each structure and target (the weights being specified by the user
the following	during prescription). For targets, cost is the mean-squared difference between realised dose and prescribed dose.
formula:	For radiation-sensitive structures, cost is the mean-squared difference between realised dose and zero dose. The algorithm attempts to minimise the cost over the iterative process for each arc.
$C_T = \Sigma C_{z1} + C_{z2} + C_{z3}$	angorium attempts to imminise the cost over the iterative process for each are.
$+\ldots C_{zn}$ , and	The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam
$C_S = \Sigma C_{zI} + C_{z2} + C_{z3} + \dots C_{zn},$	transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
where Cs and C <sub>T</sub> are the cost for each structure or zone, and C <sub>z1</sub> , C <sub>z2</sub> , C <sub>z3</sub> , and C <sub>zn</sub> , are the costs calculated for each zone of the first, second, and third,	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.

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through the nth zone of each target or structure; and  [f] calculating a total	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.  This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
cost for the change in the proposed radiation beam arrangement, according to the following formula: $C_{Total} = C_S + C_T$ ,  where $C_{Total}$ is the total cost of the proposed change to	"Optimisation of beam transmittance is performed by applying the simulated annealing algorithm based upon a cost function. Cost is the sum of weighted costs for each structure and target (the weights being specified by the user during prescription). For targets, cost is the mean-squared difference between realised dose and prescribed dose. For radiation-sensitive structures, cost is the mean-squared difference between realised dose and zero dose. The algorithm attempts to minimise the cost over the iterative process for each arc.  The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
the radiation beam arrangement.	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 5. The method of claim 2,	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:

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wherein the proposed radiation beam arrangement is calculated using simulated annealing radiation therapy planning methods.	"These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
6. The method of claim 1, further	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
comprising the step of applying the optimized radiation	"The Peacock Plan 3-D treatment planning and viewing program is an automatic one: rather than verifying a user-designed program, the system plays an active role in creating the plan." Carol 1994 Art. 2 at 108.
beam arrangement to the patient with a conformal radiation therapy apparatus.	"Peacock Plan provides a set of user-friendly tools, operating under the NEXTSTEP operating system running on 80486 and Pentiuma platforms, which allow target volumes and volumes of interest to be identified. The target goal and dose limits for the volumes of interest are defined and constraints are placed on the system (relative importance of delivering the prescribed dose to the complete target versus delivering less than the dose limits to avoidance and sensitive structures, the number of table angles and the thickness of the treatment beam, number of iterations in the annealing process, etc.). After the operator approves the 'prescription,' data is transferred over a local network to a number of Sun Spare IO processors operating in parallel and the autoplan process begins. This process involves 'growing' the targets and structures, constructing a set of beams to be optimised, randomly changing individual beam weights controlled by a cost function to produce a satisfactory set of weights, then normalising the resultant set of beam weights for correct treatment delivery." Carol 1994 Art. 2 at 108.

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	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
7. The method of claim 2, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 6.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
9. The method of claim 5, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 6 and 7.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
10. The method of claim 1, wherein the CDVH associated with the predetermined desired dose prescription is	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose prescription entered into the computer.	
12. The method of claim 2, wherein the CDVH associated with the predetermined desired dose prescription is computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 10.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
22. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to a tumor target volume	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 1[pre].

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while minimizing radiation of a structure volume in a patient, comprising the steps of:	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using a computer to computationally obtain a proposed radiation beam arrangement;	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 1[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] using a computer to computationally change the proposed radiation beam arrangement iteratively,	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] incorporating a cost function at each iteration to approach correspondence of partial volume data associated with the proposed radiation beam arrangement to	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 1[c].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Claim Element	Evidence of Invalidity
partial volume data associated with a pre- determined desired does prescription,	
[b2] wherein the proposed radiation	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
beam arrangement is changed by changing the beam weights; and	"These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.  "Optimisation of beam transmittance is performed by applying the simulated annealing algorithm based upon a cost function. Cost is the sum of weighted costs for each structure and target (the weights being specified by the user during prescription). For targets, cost is the mean-squared difference between realised dose and prescribed dose. For radiation-sensitive structures, cost is the mean-squared difference between realised dose and zero dose. The algorithm attempts to minimise the cost over the iterative process for each arc.
	The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in

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Claim Element	Evidence of Invalidity
	beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] rejecting the change of the	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
proposed radiation	See claim 1[d].
beam arrangement if	
the change of the	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have
proposed radiation	been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or
beam arrangement	with any of the references identified in Appendix A of the Invalidity Contentions.
leads to a lesser	
correspondence to the	
desired prescription	
and accepting the	
change of the	
proposed radiation	
beam arrangement if	
the change of the	
proposed radiation	
beam arrangement	
leads to a greater	
correspondence to the	
desired prescription to	
obtain an optimized	

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
radiation beam arrangement.	
23. The method of claim 22, wherein the partial volume data is entered directly in the computer.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
24. The method of claim 22, wherein the partial volume data is calculated by the computer based on CDVH graphically entered into the computer using a pointing device.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
25. [pre] An apparatus for determining an optimized radiation	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 1[pre] and 22[pre].
beam arrangement for applying radiation to a tumor target volume while minimizing radiation of a structure volume in a patient, comprising:	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Claim Element	Evidence of Invalidity
[a] a computer, adapted to	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
computationally obtain a proposed	See claims 1[a] and 22[a].
radiation beam arrangement,	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] the computer further adapted to	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
computationally change the proposed	See claims 1[b] and 22[b1].
radiation beam arrangement iteratively,	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b2] wherein the proposed radiation	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
beam arrangement is changed by changing	See claim 22[b2].
the beam weights,	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] the computer further adapted to	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
incorporate a cost function at each	See claims 1[c] and 22[c].
iteration to approach correspondence of	

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Claim Element	Evidence of Invalidity
partial volume data associated with the proposed radiation beam arrangement to partial volume data associated with a pre- determined desired dose prescription, and	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] the computer further adapted to	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
reject the change of the proposed radiation	See claims 1[d] and 22[d].
beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired dose prescription and to accept the change of the proposed radiation	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a greater correspondence to the desired does	

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Claim Element	Evidence of Invalidity	
prescription to obtain an optimized radiation beam arrangement.		
26. The apparatus of claim 25, wherein the partial volume data is represented as a CDVH.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
27. The apparatus of claim 25, further comprising: a conformal radiation therapy apparatus in communication with the computer for applying the optimized radiation beam arrangement to the patient.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 6, 7 and 9.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
28. The apparatus of claim 27, wherein the partial volume data is represented as a CDVH.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 26.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	

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Claim Element	Evidence of Invalidity
[not asserted] 33. [pre] A method of determining an	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 1[pre], 22[pre], and 25[pre].
optimized radiation beam arrangement for applying radiation to at least one tumor target volume while	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
minimizing radiation to at least one structure volume in a patient, comprising the steps of:	
[a] distinguishing each of the at least one tumor target volume and each of the at least one structure volume by target or structure type, wherein the target or structure types are distinguished as either Biologically Uniform or Biologically	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  "Peacock Plan provides a set of user-friendly tools, operating under the NEXTSTEP operating system running on 80486 and Pentiuma platforms, which allow target volumes and volumes of interest to be identified. The target goal and dose limits for the volumes of interest are defined and constraints are placed on the system (relative importance of delivering the prescribed dose to the complete target versus delivering less than the dose limits to avoidance and sensitive structures, the number of table angles and the thickness of the treatment beam, number of iterations in the annealing process, etc.). After the operator approves the 'prescription,' data is transferred over a local network to a number of Sun Spare IO processors operating in parallel and the autoplan process begins. This process involves 'growing' the targets and structures, constructing a set of beams to be optimised, randomly changing individual beam weights controlled by a cost function to produce a satisfactory set of weights, then normalising the resultant set of beam weights for correct treatment delivery." Carol 1994 Art. 2 at 108.
Polymorphic;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Claim Element	Evidence of Invalidity
[b] determining	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
desired partial volume	
data for each of the at	See claim 23.
least one target volume and structure	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have
volume associated	been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or
with a desired dose	with any of the references identified in Appendix A of the Invalidity Contentions.
prescription;	
[c] entering the	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
desired partial volume	
data into a computer;	See claim 23.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] in response to the	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
desired partial volume data and in response	"Describe Plan mayides a set of year friendly tools arounting under the NEVICTED arounting system marring on
to the target or	"Peacock Plan provides a set of user-friendly tools, operating under the NEXTSTEP operating system running on 80486 and Pentiuma platforms, which allow target volumes and volumes of interest to be identified. The target goal
structure type of each	and dose limits for the volumes of interest are defined and constraints are placed on the system (relative importance
of the at least one	of delivering the prescribed dose to the complete target versus delivering less than the dose limits to avoidance and
tumor target volume	sensitive structures, the number of table angles and the thickness of the treatment beam, number of iterations in the
and each of the at	annealing process, etc.). After the operator approves the 'prescription,' data is transferred over a local network to a
least one structure	number of Sun Spare IO processors operating in parallel and the autoplan process begins. This process involves
volume, using the	'growing' the targets and structures, constructing a set of beams to be optimised, randomly changing individual
computer to computationally	beam weights controlled by a cost function to produce a satisfactory set of weights, then normalising the resultant set of beam weights for correct treatment delivery." Carol 1994 Art. 2 at 108.
computationary	bot of bount worghts for correct treatment derivery. Carof 1777 fit. 2 at 100.

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Claim Element	Evidence of Invalidity
calculate an optimized radiation beam arrangement.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
34. The method of claim 33, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 6, 7, 9, and 27.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 40. [pre] A method of determining an optimized radiation beam arrangement for applying radiation for at least one tumor target volume while minimizing radiation of at least one structure volume in a patient, comprising the steps of:	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 1[pre], 22[pre], 25[pre], 33[pre].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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<b>Claim Element</b>	Evidence of Invalidity
[a] determining desired partial volume	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
data for each of the at least one target	See claim 33[b].
volume and structure volume associated with a desired dose prescription;	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] entering the desired partial volume	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
data into a computer;	See claims 23 and 33[c].
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] in response to the desired partial volume	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
data, using the computer to	See claims 1[c], 22[c], and 25[c].
computationally approximate desired CDVHs for each of the at least one target	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
and structure associated with the desired dose	
prescription; and	

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	U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity	
[d] using the computer to computationally	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 1[a].	
calculate the optimized radiation beam arrangement associated with the CDVHs approximated by the computer.	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
42. The method of claim 40, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 6, 7, 9, 27, and 34.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[not asserted] 44. [pre] The method of claim 40, wherein the CDVHs approximated by the computer are approximated by the steps of:	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claim 40.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[a] using the computer to computationally	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:	

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Claim Element	Evidence of Invalidity
obtain a set of proposed beam weights;	See claims 1[a], 22[a], and 25[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] using the computer to computationally change the set of	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 1[b], 22[b1], and 25[b1].
proposed beam weights iteratively,	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b2] incorporating a cost function at each iteration to determine a cost of the change to the set of proposed beam weights; and	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  "These weightings can be generated through a variety of iterative and algebraic processes. Peacock uses a form of simulated annealing to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but also will keep the dose to other patient volumes below user-defined limits. At each iteration of the simulated annealing process, the weight of a single randomly-chosen beam is changed by a small amount and the resulting effect and 'cost' are calculated. If the resulting cost is lower than the cost from the previous iteration, the change in the beam is accepted. If the resulting cost is higher than the cost from the previous iteration, then the change may not be accepted. After a large number of iterations, a set of beam weights is arrived at which produces the desired result as guided by the restraints placed on the system." Carol 1994 Art. 2 at 108.  "Optimisation of beam transmittance is performed by applying the simulated annealing algorithm based upon a cost function. Cost is the sum of weighted costs for each structure and target (the weights being specified by the user during prescription). For targets, cost is the mean-squared difference between realised dose and prescribed dose. For radiation-sensitive structures, cost is the mean-squared difference between realised dose and zero dose. The algorithm attempts to minimise the cost over the iterative process for each arc.

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<b>Claim Element</b>	Evidence of Invalidity
	The value of the cost function is calculated at each iteration and effectively minimised by adjusting the beam transmittance. A high cost results when target dose departs from the desired minimum dose to the target and/or when sensitive structure dose approaches its dose limit. The relative values of the user-defined "target weight" and "structure weights" affect the contribution of each target and structure to the total cost.
	To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be accepted as determined by user and system defined variables." Carol 1994 Art. 2 at 108.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] rejecting the change to the set of	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:
proposed beam weights if the change	See claims 1[c], 22[c], and 25[c].
to the set of proposed beam weights leads to a lesser correspondence to the	To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
desired CDVHs and accepting the change	
to the set of proposed	
beam weights if the change to the set of	

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Claim Element	Evidence of Invalidity
proposed beam weights leads to a greater correspondence to the desired CDVHs.	
46. The method of claim 44, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1994 Art. 2. For example:  See claims 6, 7, 9, 27, 34, and 42.  To the extent that this limitation is not fully disclosed or suggested by Carol 1994 Art. 2, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

Best Medical International, Inc. v. Varian Medical Systems, Inc., et al. Case No. 1:18-CV-01599-MN (D. Del.) Varian's Invalidity Contentions – Exhibit A-3

Claim Chart Against U.S. Patent No. 6,038,283 ("'283 patent")

Charted Reference: Mark P. Carol, *Peacock*<sup>TM</sup>: A System for Planning and Rotational Delivery of Intensity-Modulated Fields, 6 Int. J. Imaging Sys. and Tech. 56 (1995) ("Carol 1995")

Carol 1995 qualifies as prior art to the '283 patent under at least 35 U.S.C. §§ 102(a) and/or 102(b).<sup>1</sup>

U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
[not asserted] 1. [pre]	This limitation is disclosed or suggested by Carol 1995. For example:
A method of	
determining an	"This hypothesis is the basis for conformal therapy-delivering a high dose of radiation in a spatial distribution
optimized radiation	conforming to the shape of the target volume while concomitantly decreasing the volume of the surrounding
beam arrangement for	normal tissue receiving that same dose [9]." Carol 1995 at 56.
applying radiation to a	
tumor target volume	"Unlike typical experience-based, trial-and-error approaches to treatment planning, Peacock Plan starts with the
while minimizing radiation of a	desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution [8]. Peacock uses a so-called fast simulated annealing process to determine a set of beam weights which will
structure volume in a	deliver not only the prescribed dose to the identified target volume but will also keep the dose to avoidance
patient, comprising	volumes (volumes which should receive no radiation) and sensitive volumes (volumes which have a dose limit
the steps of:	which is less than the dose to the target volume) below user-defined limits." Carol 1995 at 57.
r	
	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been
	obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with
	any of the references identified in Appendix A of the Invalidity Contentions.

<sup>&</sup>lt;sup>1</sup> The excerpts cited herein are exemplary. For any claim limitation, Defendant may rely on excerpts cited for any other limitation and/or additional excerpts not set forth fully herein to the extent necessary to provide a more comprehensive explanation for a reference's disclosure of a limitation. Where an excerpt refers to or discusses a figure or figure items, that figure and any additional descriptions of that figure should be understood to be incorporated by reference as if set forth fully therein.

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	U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity	
[a] using a computer to computationally	This limitation is disclosed or suggested by Carol 1995. For example:	
obtain a proposed radiation beam arrangement;	"The parameters driving beam modulation and field shaping are generated by a three-dimensional planning computer using a simulated annealing algorithm guided by cost functions which quantify prescribed treatment constraints." Carol 1995 at 56.	
	"Unlike typical experience-based, trial-and-error approaches to treatment planning, Peacock Plan starts with the desired dose distribution and works in reverse to generate the beam weights needed to produce this distribution [8]. Peacock uses a so-called fast simulated annealing process to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but will also keep the dose to avoidance volumes (volumes which should receive no radiation) and sensitive volumes (volumes which have a dose limit which is less than the dose to the target volume) below user-defined limits." Carol 1995 at 57.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with	
[b] using a computer to computationally	any of the references identified in Appendix A of the Invalidity Contentions.  This limitation is disclosed or suggested by Carol 1995. For example:	
change the proposed radiation beam arrangement iteratively,	"Peacock uses a so-called fast simulated annealing process to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but will also keep the dose to avoidance volumes (volumes which should receive no radiation) and sensitive volumes (volumes which have a dose limit which is less than the dose to the target volume) below user-defined limits [5, 12, 14-17]. The iterative planning process for changing beam weights is driven by a cost function—the higher the cost associated with a particular change in beam weights, the less likely the system is to retain the change." Carol 1995 at 57.	
	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
[c] incorporating a cost function at each iteration to approach correspondence of a CDVH associated with the proposed radiation beam arrangement to a CDVH associated with a predetermined desired dose prescription; and	This limitation is disclosed or suggested by Carol 1995. For example:  "The iterative planning process for changing beam weights is driven by a cost function—the higher the cost associated with a particular change in beam weights, the less likely the system is to retain the change.  Peacock defines cost as the sum of weighted costs for each structure and target (weights as specified during "Prescription"). For targets, cost is the mean-squared difference between realized dose and prescribed dose. For structures, cost is the mean-squared difference between realized dose and zero dose.  Cost = Sum[CostI] + Sum[CostJ], where for:  I = 1, N_Targets, J = 1, N_Structures  CostI = Weight((Dosel − MinDosel)^2)/  Dose min 1/2  CostJ = WeightJ(Dosel − MinDosel)^2//  Dose min 1/2  The brackets (⇔) denote an average over all voxels in target I or sensitive structure J. The algorithm attempts to minimize the cost at any iteration." Carol 1995 at 57-58.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] rejecting the change of the proposed radiation beam arrangement if the change of the proposed radiation	This limitation is disclosed or suggested by Carol 1995. For example:  "The parameters driving beam modulation and field shaping are generated by a three-dimensional planning computer using a simulated annealing algorithm guided by cost functions which quantify prescribed treatment constraints." Carol 1995 at 56.
beam arrangement leads to a lesser	"The value of the cost function is calculated at each iteration and is minimized by adjusting the beam transmittance. The relative values of "WeightI" (target weight) and "WeightJ" (structure weights) emphasize or

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correspondence to the desired prescription and accepting the change of the proposed beam arrangement leads to a	deemphasize the contribution of each target and structure to the total cost. To save computation time during the iterative process, an approximate dose is calculated by updating the dose volume only for the beam which has been changed and only for the parts of that beam which contribute dose over a threshold amount. If the resulting cost is lower than the cost obtained from the previous iteration, the change in beam transmittance is accepted. If the resulting cost is higher than the cost from the previous iteration, the change in beam weightings may still be rejected as determined by:
greater correspondence to the desired dose prescription to obtain an optimized radiation beam arrangement.	P(acceptance) = Exp(-Cost/kTn), where with  N = number of beams being optimized; kTn is the "temperature" at iteration n: kTn = (initial kT per beam) N/n  "Carol 1995 at 58.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 2. [pre] The method of claim 1 wherein the cost function is obtained by the steps of:	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] determining a CDVH associated with the desired dose prescription;	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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[b] assigning zones to each CDVH;	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] assigning weights to each zone, applicable to the	This limitation is disclosed or suggested by Carol 1995. For example:  "Peacock defines cost as the sum of weighted costs for each structure and target (weights as specified during	
cDVHs associated with both the desired dose prescription and the proposed radiation beam arrangement;	"Prescription"). For targets, cost is the mean-squared difference between realized dose and prescribed dose. For structures, cost is the mean-squared difference between realized dose and zero dose.  Cost = Sum[CostI] + Sum[CostJ], where for:  I = 1, N_Targets, J = 1, N_Structures  CostI is the cost for target I:  CostI = Weight \( ((Dosel - MinDosel)^2) / (Dose_{min}I^2) / (Dosel_minJ^2) / (Dose_minJ^2) / (Dose	
	The brackets (<>) denote an average over all voxels in target I or sensitive structure J. The algorithm attempts to minimize the cost at any iteration.  The value of the cost function is calculated at each iteration and is minimized by adjusting the beam transmittance. The relative values of "WeightI" (target weight) and "WeightJ" (structure weights) emphasize or deemphasize the contribution of each target and structure to the total cost." Carol 1995 at 57-58.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[d] calculating a zone cost for each target and each structure,	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	

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according to the	
following formula:	
$C_z = W_z * (A_p/A_d),$	
where $C_z$ is the cost	
for the current zone,	
$W_z$ is the weight	
assigned to the current	
zone, $A_p$ is the area or	
length of the current zone of the proposed	
CDVH, and where $A_d$	
is the area or length of	
the current zone of the	
desired CDVH;	
F 7 1 1	
[e] calculating a target or structure cost for	This limitation is disclosed or suggested by Carol 1995. For example:
each target or	"Peacock defines cost as the sum of weighted costs for each structure and target (weights as specified during
structure, according to	"Prescription"). For targets, cost is the mean-squared difference between realized dose and prescribed dose. For
the following	structures, cost is the mean-squared difference between realized dose and zero dose.
formula:	Cost = Sum[CostI] + Sum[CostJ],
	where for:
$C_T = \sum C_{z1} + C_{z2} + C_{z3}$	I = 1, N_Targets, J = 1, N Structures
$+ \dots C_{zn}$ , and	Cost I is the cost for target I:
$C_S = \Sigma C_{z1} + C_{z2} + C_{z3}$	$CostI = Weight \langle (Dosel - MinDosel)^2 \rangle /$
$+\ldots C_{zn},$	Dose <sub>min</sub> I^2 CostJ is the cost for structure J:
	Cost I is the cost for structure 3. $Cost J = Weight J \langle Dose_{jimit} J^2 \rangle$

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where C <sub>S</sub> and C <sub>T</sub> are the cost for each structure or zone, and	The brackets (<>) denote an average over all voxels in target I or sensitive structure J. The algorithm attempts to minimize the cost at any iteration." Carol 1995 at 57.
C <sub>z1</sub> , C <sub>z2</sub> , C <sub>z3</sub> , and C <sub>zn</sub> , are the costs calculated for each zone of the first, second, and third, through the nth zone of each target or structure; and	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[f] calculating a total cost for the change in the proposed radiation beam arrangement, according to the following formula: $C_{Total} = C_S + C_T,$ where $C_{Total}$ is the total cost of the proposed change to the radiation beam arrangement.	This limitation is disclosed or suggested by Carol 1995. For example:  "Peacock defines cost as the sum of weighted costs for each structure and target (weights as specified during "Prescription"). For targets, cost is the mean-squared difference between realized dose and prescribed dose. For structures, cost is the mean-squared difference between realized dose and zero dose.  Cost = Sum[CostI] + Sum[CostJ], where for:  I = 1, N_Targets, J = 1, N_Structures  CostI is the cost for target I:  CostI = Weight⟨(Dosel − MinDosel)^2⟩/  Dose <sub>min</sub> I^2  CostJ = WeightJ⟨DoseJ^2⟩/Dose <sub>limit</sub> J^2  The brackets (⟨⋄⟩) denote an average over all voxels in target I or sensitive structure J. The algorithm attempts to minimize the cost at any iteration." Carol 1995 at 57.

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	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 5. The method of claim 2,	This limitation is disclosed or suggested by Carol 1995. For example:
wherein the proposed radiation beam arrangement is calculated using simulated annealing radiation therapy planning methods.	"The parameters driving beam modulation and field shaping are generated by a three-dimensional planning computer using a simulated annealing algorithm guided by cost functions which quantify prescribed treatment constraints." Carol 1995 at 56.
	"Peacock uses a so-called fast simulated annealing process to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but will also keep the dose to avoidance volumes (volumes which should receive no radiation) and sensitive volumes (volumes which have a dose limit which is less than the dose to the target volume) below user-defined limits [5, 12, 14-17]. The iterative planning process for changing beam weights is driven by a cost function—the higher the cost associated with a particular change in beam weights, the less likely the system is to retain the change." Carol 1995 at 57.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
6. The method of claim 1, further	This limitation is disclosed or suggested by Carol 1995. For example:
comprising the step of applying the optimized radiation	"The Peacock three-Dimensional Conformal System plans for and implements, through the use of a multileaf intensity modulating collimator (MIMiC)
beam arrangement to the patient with a	The MIMiC is an electropneumatic implementation device which slides into the wedge/blocking/accessory tray slot on the treatment machine." Carol 1995 at 56.

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conformal radiation therapy apparatus.	"Patients treatments with Peacock began in March 1994 under IDEs; eight patients had been treated as of September 1994." Carol 1995 at 61.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
7. The method of claim 2, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 6.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
9. The method of claim 5, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 6 and 7.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
10. The method of claim 1, wherein the CDVH associated	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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with the pre- determined desired dose prescription is computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose prescription entered into the computer.	
12. The method of claim 2, wherein the CDVH associated with the predetermined desired dose prescription is computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose.	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 10.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
22. [pre] A method of determining an optimized radiation	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1[pre].

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beam arrangement for applying radiation to a tumor target volume while minimizing radiation of a structure volume in a patient, comprising the steps of:	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using a computer to computationally obtain a proposed radiation beam arrangement;	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] using a computer to computationally change the proposed radiation beam arrangement iteratively,	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] incorporating a cost function at each iteration to approach correspondence of partial volume data	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1[c].

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associated with the proposed radiation beam arrangement to partial volume data associated with a predetermined desired does prescription,	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b2] wherein the proposed radiation beam arrangement is changed by changing the beam weights; and	This limitation is disclosed or suggested by Carol 1995. For example:  "Peacock uses a so-called fast simulated annealing process to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but will also keep the dose to avoidance volumes (volumes which should receive no radiation) and sensitive volumes (volumes which have a dose limit which is less than the dose to the target volume) below user-defined limits [5, 12, 14-17]." Carol 1995 at 57.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[d] rejecting the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired prescription and accepting the	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1[d].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	

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change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a greater correspondence to the desired prescription to obtain an optimized radiation beam arrangement.	
23. The method of claim 22, wherein the partial volume data is entered directly in the computer.	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
24. The method of claim 22, wherein the partial volume data is calculated by the computer based on CDVH graphically entered into the computer using a pointing device.	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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25. [pre] An apparatus for determining an optimized radiation beam arrangement for	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[pre] and 22[pre].
applying radiation to a tumor target volume while minimizing radiation of a structure volume in a patient, comprising:	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] a computer, adapted to computationally	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[a] and 22[a].
obtain a proposed radiation beam arrangement,	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b1] the computer further adapted to computationally	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[b] and 22[b1].
change the proposed radiation beam arrangement iteratively,	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b2] wherein the proposed radiation beam arrangement is	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 22[b2].

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changed by changing the beam weights,	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] the computer further adapted to incorporate a cost function at each iteration to approach correspondence of	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[c] and 22[c].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with
partial volume data associated with the proposed radiation beam arrangement to partial volume data associated with a pre- determined desired dose prescription, and	any of the references identified in Appendix A of the Invalidity Contentions.
[d] the computer further adapted to reject the change of the proposed radiation	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[d] and 22[d].
beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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desired dose	
prescription and to	
accept the change of	
the proposed radiation	
beam arrangement if	
the change of the	
proposed radiation	
beam arrangement	
leads to a greater	
correspondence to the	
desired does	
prescription to obtain	
an optimized radiation	
beam arrangement.	
26. The apparatus of claim 25, wherein the partial volume data is represented as a CDVH.	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
27. The apparatus of	This limitation is disclosed or suggested by Carol 1995. For example:
claim 25, further	
comprising: a	See claims 6, 7 and 9.
conformal radiation	
therapy apparatus in	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been
communication with	obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with
the computer for	any of the references identified in Appendix A of the Invalidity Contentions.
applying the	
optimized radiation	

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beam arrangement to the patient.		
28. The apparatus of claim 27, wherein the partial volume data is represented as a CDVH.	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 26.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[not asserted] 33. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to at least one tumor target volume while minimizing radiation to at least one structure volume in a patient, comprising the steps of:	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[pre], 22[pre], and 25[pre].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[a] distinguishing each of the at least one tumor target volume and each of the at least one	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	

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structure volume by target or structure type, wherein the target or structure types are distinguished as either Biologically Uniform or Biologically Polymorphic;	
[b] determining desired partial volume data for each of the at least one target volume and structure volume associated with a desired dose prescription;	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 23.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] entering the desired partial volume data into a computer;	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 23.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] in response to the desired partial volume data and in response	This limitation is disclosed or suggested by Carol 1995. For example:

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to the target or structure type of each of the at least one tumor target volume and each of the at least one structure volume, using the computer to computationally calculate an optimized radiation beam	"The Peacock three-Dimensional Conformal System plans for and implements, through the use of a multileaf intensity modulating collimator (MIMiC)  The MIMiC is an electropneumatic implementation device which slides into the wedge/blocking/accessory tray slot on the treatment machine." Carol 1995 at 56.  "Peacock uses a so-called fast simulated annealing process to determine a set of beam weights which will deliver not only the prescribed dose to the identified target volume but will also keep the dose to avoidance volumes (volumes which should receive no radiation) and sensitive volumes (volumes which have a dose limit which is less than the dose to the target volume) below user-defined limits [5, 12, 14-17]." Carol 1995 at 57.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been	
arrangement.	obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
34. The method of claim 33, further comprising the step of applying the	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 6, 7, 9, and 27.	
optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[not asserted] 40. [pre] A method of determining an optimized radiation beam arrangement for applying radiation for	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[pre], 22[pre], 25[pre], 33[pre].	

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at least one tumor target volume while minimizing radiation of at least one structure volume in a patient, comprising the steps of:	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[a] determining desired partial volume data for each of the at least one target volume and structure	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 33[b].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been	
volume associated with a desired dose prescription;	obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b] entering the desired partial volume	This limitation is disclosed or suggested by Carol 1995. For example:	
data into a computer;	See claims 23 and 33[c].	
	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] in response to the desired partial volume	This limitation is disclosed or suggested by Carol 1995. For example:	
data, using the computer to computationally	See claims 1[c], 22[c], and 25[c].	

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approximate desired CDVHs for each of the at least one target and structure associated with the desired dose prescription; and	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[d] using the computer to computationally calculate the optimized radiation beam arrangement associated with the CDVHs approximated by the computer.	This limitation is disclosed or suggested by Carol 1995. For example:  See claim 1[a].  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
42. The method of claim 40, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 6, 7, 9, 27, and 34.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[not asserted] 44. [pre] The method of	This limitation is disclosed or suggested by Carol 1995. For example:	

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claim 40, wherein the CDVHs approximated by the computer are	See claim 40.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been	
approximated by the steps of:	obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[a] using the computer to computationally obtain a set of	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 1[a], 22[a], and 25[a].	
proposed beam	See claims [[a], 22[a], and 23[a].	
weights;	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b1] using the computer to	This limitation is disclosed or suggested by Carol 1995. For example:	
computationally change the set of	See claims 1[b], 22[b1], and 25[b1].	
proposed beam weights iteratively,	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[b2] incorporating a cost function at each	This limitation is disclosed or suggested by Carol 1995. For example:	
iteration to determine a cost of the change to the set of proposed beam weights; and	"The iterative planning process for changing beam weights is driven by a cost function—the higher the cost associated with a particular change in beam weights, the less likely the system is to retain the change.  Peacock defines cost as the sum of weighted costs for each structure and target (weights as specified during "Prescription"). For targets, cost is the mean-squared difference between realized dose and prescribed dose. For	
	structures, cost is the mean-squared difference between realized dose and zero dose.	

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
	Cost = Sum[CostI] + Sum[CostJ], where for:
	I = 1, N_Targets, J = 1, N_Structures  CostI is the cost for target I:  CostI = Weight((Dosel - MinDosel)^2)/  Dose_minI^2  CostJ is the cost for structure J:  CostJ = WeightJ(DoseJ^2)/Dose_limitJ^2
	The brackets (<>) denote an average over all voxels in target I or sensitive structure J. The algorithm attempts to minimize the cost at any iteration." Carol 1995 at 57-58.
	"The value of the cost function is calculated at each iteration and is minimized by adjusting the beam transmittance. The relative values of "WeightI" (target weight) and "WeightJ" (structure weights) emphasize or deemphasize the contribution of each target and structure to the total cost." Carol 1995 at 58.
	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] rejecting the change to the set of	This limitation is disclosed or suggested by Carol 1995. For example:
proposed beam weights if the change	See claims 1[c], 22[c], and 25[c].
to the set of proposed beam weights leads to a lesser correspondence to the desired CDVHs and	To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
accepting the change to the set of proposed	

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Claim Element	Evidence of Invalidity				
beam weights if the change to the set of proposed beam weights leads to a greater correspondence to the desired CDVHs.					
46. The method of claim 44, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Carol 1995. For example:  See claims 6, 7, 9, 27, 34, and 42.  To the extent that this limitation is not fully disclosed or suggested by Carol 1995, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.				

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Claim Chart Against U.S. Patent No. 6,038,283 ("'283 patent")

Charted Reference: Per Hahn, et al., *Treatment Planning for Protocol-Based Radiation Therapy*, 18 Int. J. Radiation Oncology Biol. Phys. 937 (1990) ("Hahn")

Hahn, published in 1990, qualifies as prior art to the '283 patent under at least 35 U.S.C. §§ 102(a) and/or 102(b).

U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity			
[not asserted] 1. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to a tumor target volume while minimizing radiation of a structure volume in a patient,	This limitation is disclosed or suggested by Hahn. For example:  "We have investigated the use of dose-area histograms to ensure complete adherence to protocol dose specifications. A dose prescription is prepared that defines upper and lower target doses as well as normal tissue dose tolerance levels for all organs of interest. In addition, dose-volume histograms are derived which provide quantitative measures of the extent to which each dose limit has been met. This technique can be used during treatment planning to prevent protocol violations of pre-defined severity, or for retroactive correlation of local tumor recurrence and treatment-related morbidity with dose levels in the target and			
comprising the steps of:	"Conversely, we would like to have a planning technique which would ensure that the dose distribution, both within and outside the target, is evaluated in an objective manner for each plan and maintained within prescribed limits." Hahn at 937.  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			

<sup>&</sup>lt;sup>1</sup> The excerpts cited herein are exemplary. For any claim limitation, Defendant may rely on excerpts cited for any other limitation and/or additional excerpts not set forth fully herein to the extent necessary to provide a more comprehensive explanation for a reference's disclosure of a limitation. Where an excerpt refers to or discusses a figure or figure items, that figure and any additional descriptions of that figure should be understood to be incorporated by reference as if set forth fully therein.

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U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity			
[a] using a computer to computationally obtain a	This limitation is disclosed or suggested by Hahn. For example:			
proposed radiation beam arrangement;	"Clearly a full 3-dimensional computation would be more satisfactory, if the resources were available." Hahn at 939.			
	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
[b] using a computer to computationally change the	This limitation is disclosed or suggested by Hahn. For example:			
proposed radiation beam arrangement iteratively, incorporating a cost function at each iteration to approach correspondence of a CDVH associated with the proposed radiation beam arrangement to a CDVH associated with a	"We have investigated the use of dose-area histograms to ensure complete adherence to protocol dose specifications. A dose prescription is prepared that defines upper and lower target doses as well as normal tissue dose tolerance levels for all organs of interest. In addition, dose-volume histograms are derived which provide quantitative measures of the extent to which each dose limit has been met. This technique can be used during treatment planning to prevent protocol violations of pre-defined severity, or for retroactive correlation of local tumor recurrence and treatment-related morbidity with dose levels in the target and normal tissues." Hahn. Abstract, at 937.			
predetermined desired dose prescription; and	"Conversely, we would like to have a planning technique which would ensure that the dose distribution, both within and outside the target, is evaluated in an objective manner for each plan and maintained within prescribed limits." Hahn at 937.			

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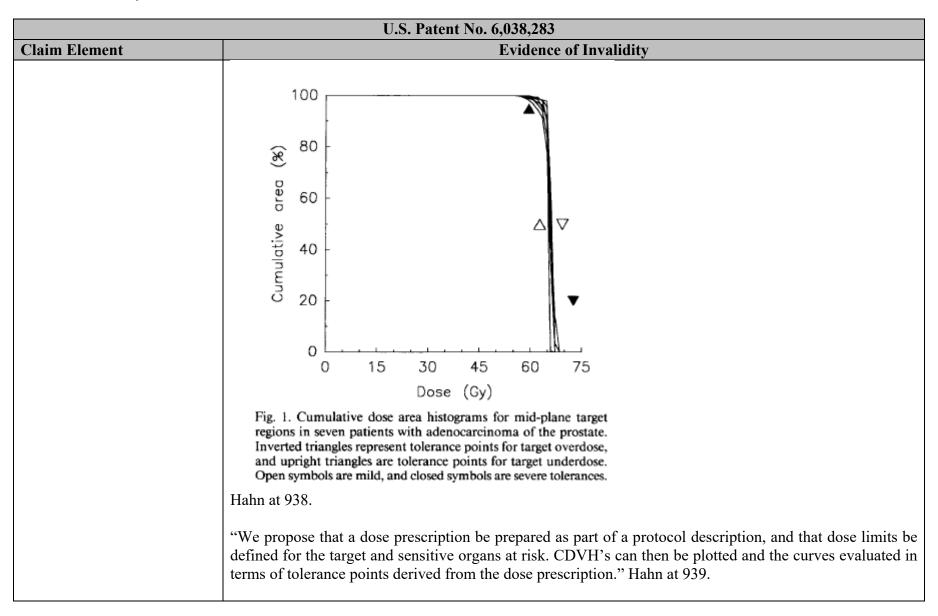
U.S. Patent No. 6,038,283			
Element	Evidence of Invalidity		
To achieve the objectives set of use of a dose prescription as shot target the dose prescription defined and two underdose limits, togeth the umes, expressed as percentages of the control of the contro	wn in Tab nes two ove ner with ma	le 1. For the erdose limits eximum vol-	
Table 1. Dose prescription	for ca pros	ate	
Type of regret	Dose (Gy)	Tolerance area (%)	
Target overdose (severe)	73	20	
(mild) Target underdose (severe)	69 59	50 5	
(mild)	63	50	
Normal tissue (severe)	59	50	
(mild)	53	100	
Rectum	55	80	
Femoral heads	58	10	
Bladder	60	10	

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Best Medical International, Inc. v. Varian Medical Systems, Inc., et al. Case No. 1:18-CV-01599-MN (D. Del.)

U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity			
	Cumulative dose-volume histograms (CDVH's) have been advocated by several authors as a means of assessing treatment plans (1–3). They provide a simple and effective method of verifying the compliance of a proposed plan with the dose prescription, since each dose-volume limit can be represented as a point on the cumulative dose-volume plot. This is illustrated in Figure 1, where the target dose-volume limits from Table 1 are shown as triangles, pointing up for underdose limits and down for overdose limits. A plan which meets the prescription requirements will have a CDVH which passes above the underdose points and below the overdose points, as is the case for all the histograms shown in Figure 1.  Hahn at 937-38.			

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U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity			
	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
[c] rejecting the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired prescription and accepting the change of the proposed beam arrangement leads to a greater correspondence to the desired dose prescription to obtain an optimized radiation beam arrangement.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
[not asserted] 2. [pre] The method of claim 1 wherein the cost function is obtained by the steps of:	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
[a] determining a CDVH associated with the desired dose prescription;	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[b].			

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	U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity				
	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.				
[b] assigning zones to each CDVH;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.				
[c] assigning weights to each zone, applicable to the CDVHs associated with both the desired dose prescription and the proposed radiation beam arrangement;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.				
[d] calculating a zone cost for each target and each structure, according to the following formula:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.				
$C_z = W_z * (A_p/A_d),$ where $C_z$ is the cost for the					
current zone, $W_z$ is the weight assigned to the current zone,					
$A_p$ is the area or length of the current zone of the proposed CDVH, and where $A_d$ is the					

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U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity			
area or length of the current zone of the desired CDVH;				
[e] calculating a target or structure cost for each target or structure, according to the following formula:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
$C_T = \sum C_{z1} + C_{z2} + C_{z3} + \dots$ $C_{zn}$ , and				
$C_S = \sum C_{z1} + C_{z2} + C_{z3} + \dots$ $C_{zn},$				
where C <sub>S</sub> and C <sub>T</sub> are the cost for each structure or zone, and C <sub>z1</sub> , C <sub>z2</sub> , C <sub>z3</sub> , and C <sub>zn</sub> , are the costs calculated for each zone of the first, second, and third, through the nth zone of each target or structure; and				
[f] calculating a total cost for the change in the proposed radiation beam arrangement, according to the following formula:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
$C_{Total} = C_S + C_T,$				

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Claim Element	Evidence of Invalidity			
where $C_{Total}$ is the total cost of the proposed change to the radiation beam arrangement.				
[not asserted] 5. The method of claim 2, wherein the proposed radiation beam arrangement is calculated using simulated annealing radiation therapy planning methods.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
6. The method of claim 1, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Hahn. For example:  "We have shown that uniformity of treatment during a protocol study may not be assured by specifying only dose limits for the target. It is also necessary to prescribe dose limits on all sensitive organs, and to verify that each patient is treated within these limits. In this example, seven patients were treated for ca prostate with nominally equal dose distributions, as specified by the protocol parameters." Hahn at 939.  See also claims 7, 9.  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
7. The method of claim 2, further comprising the step of applying the optimized radiation beam arrangement to the patient with a	This limitation is disclosed or suggested by Hahn. For example:  "We have investigated the use of dose-area histograms to ensure complete adherence to protocol dose specifications. A dose prescription is prepared that defines upper and lower target doses as well as normal tissue dose tolerance levels for all organs of interest. In addition, dose-volume histograms are derived which			

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U.S. Patent No. 6,038,283				
Claim Element	Evidence of Invalidity			
conformal radiation therapy apparatus.	provide quantitative measures of the extent to which each dose limit has been met. This technique can be used during treatment planning to prevent protocol violations of pre-defined severity, or for retroactive correlation of local tumor recurrence and treatment-related morbidity with dose levels in the target and normal tissues." Hahn. Abstract, at 937.  See also claims 6, 9.			
	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
9. The method of claim 5, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Hahn. For example:  "We have investigated the use of dose-area histograms to ensure complete adherence to protocol dose specifications. A dose prescription is prepared that defines upper and lower target doses as well as normal tissue dose tolerance levels for all organs of interest. In addition, dose-volume histograms are derived which provide quantitative measures of the extent to which each dose limit has been met. This technique can be used during treatment planning to prevent protocol violations of pre-defined severity, or for retroactive correlation of local tumor recurrence and treatment-related morbidity with dose levels in the target and normal tissues." Hahn. Abstract, at 937.  See also claims 6, 7.  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.			
10. The method of claim 1, wherein the CDVH associated with the pre-determined	This limitation is disclosed or suggested by Hahn. For example:			

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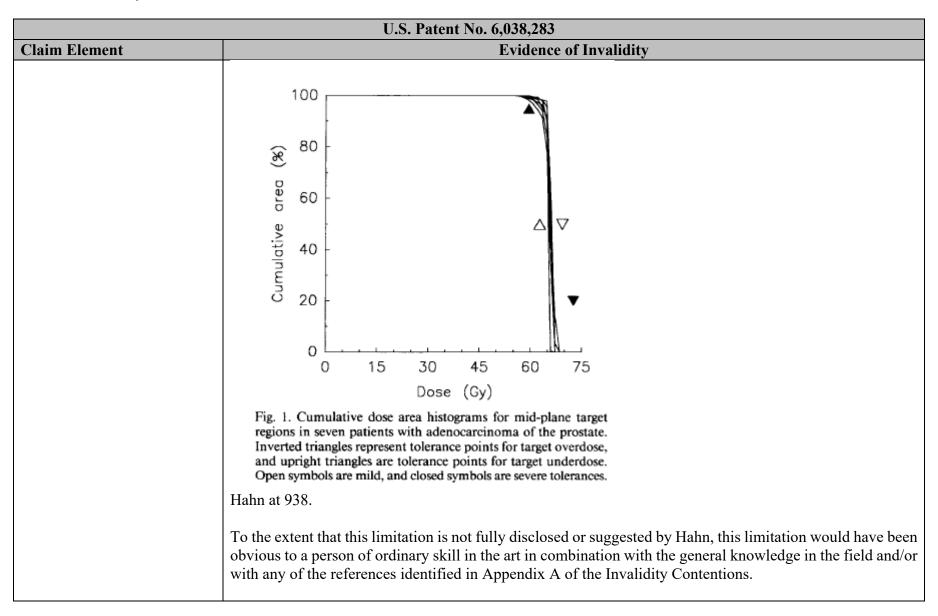
		U.S. Pater	nt No. 6,03	8.283		
Claim Element	Evidence of Invalidity					
desired dose prescription is computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose prescription entered into the computer.	To achieve the ouse of a dose presonand the dose presonand two underdose umes, expressed as	cription as sho escription defi- e limits, togetl	ut above, wown in Tab nes two over with ma	e suggest the le 1. For the erdose limits aximum vol-	y	
-	Table 1, D	ose prescription	for ca pros	ate		
	Type of reg	ret	Dose (Gy)	Tolerance area (%)		
	Target overdose	(severe)	73	20		
		(mild)	69	50		
	Target underdose	(severe)	59	5		
		(mild)	63	50		
	Normal tissue	(severe)	59	50		
	_	(mild)	53	100		
	Rectum		55	80		
	Femoral heads		58	10		
	Bladder		60	10		
	may be outside the similar pair of dos volume again expre ume. For specific s limit is specified, v centage of the volu- application of this diotherapy planning	e-volume limit essed as a pero- ensitive organ- with the volume of the con- stype of do	ts is specification to the contage of the second of the contage of	ed, with the te target vol- dose-volume ed as a per- organ. The tion in ra-		

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Varian's Invalidity Contentions – Exhibit A-4

U.S. Patent No. 6,038,283					
Claim Element	Evidence of Invalidity				
	Cumulative dose-volume histograms (CDVH's) have been advocated by several authors as a means of assessing treatment plans (1–3). They provide a simple and effective method of verifying the compliance of a proposed plan with the dose prescription, since each dose-volume limit can be represented as a point on the cumulative dose-volume plot. This is illustrated in Figure 1, where the target dose-volume limits from Table 1 are shown as triangles, pointing up for underdose limits and down for overdose limits. A plan which meets the prescription requirements will have a CDVH which passes above the underdose points and below the overdose points, as is the case for all the histograms shown in Figure 1.  Hahn at 937-38.				

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
12. The method of claim 2, wherein the CDVH associated with the pre-determined	This limitation is disclosed or suggested by Hahn. For example:  See claim 10.
desired dose prescription is computationally constructed by the computer based on partial volume data associated with the pre-determined desired dose.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
22. [pre] A method of determining an optimized radiation beam arrangement for applying radiation to a	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[pre].
tumor target volume while minimizing radiation of a structure volume in a patient, comprising the steps of:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using a computer to computationally obtain a proposed radiation beam arrangement;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] using a computer to computationally change the	This limitation is disclosed or suggested by Hahn. For example:
proposed radiation beam arrangement iteratively, incorporating a cost function	See claim 1[b].
at each iteration to approach	

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	U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity	
correspondence of partial volume data associated with the proposed radiation beam arrangement to partial volume data associated with a predetermined desired does prescription, wherein the proposed radiation beam arrangement is changed by changing the beam weights; and	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	
[c] rejecting the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired prescription and accepting the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a greater correspondence to the desired prescription to obtain an optimized radiation beam arrangement.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.	

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Best Medical International, Inc. v. Varian Medical Systems, Inc., et al.

Case No. 1:18-CV-01599-MN (D. Del.)

U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
23. The method of claim 22, wherein the partial volume data is entered directly in the computer.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
24. The method of claim 22, wherein the partial volume data is calculated by the computer based on CDVH graphically entered into the computer using a pointing device.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
25. [pre] An apparatus for determining an optimized radiation beam arrangement for applying radiation to a	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[pre], 22[pre].
tumor target volume while minimizing radiation of a structure volume in a patient, comprising:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] a computer, adapted to computationally obtain a proposed radiation beam arrangement,	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] the computer further adapted to computationally change the proposed radiation	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
beam arrangement iteratively, wherein the proposed radiation beam arrangement is changed by changing the beam weights,	
[d] the computer further adapted to incorporate a cost function at each iteration to approach correspondence of partial volume data associated with the proposed radiation beam arrangement to partial volume data associated with a pre-determined desired dose prescription, and	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[e] the computer further adapted to reject the change of the proposed radiation beam arrangement if the change of the proposed radiation beam arrangement leads to a lesser correspondence to the desired dose prescription and to accept the change of the proposed radiation beam arrangement if the change of the proposed radiation beam	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
arrangement leads to a greater correspondence to the desired does prescription to obtain an optimized radiation beam arrangement.	
26. The apparatus of claim 25, wherein the partial volume data is represented as a CDVH.	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
27. The apparatus of claim 25, further comprising: a conformal radiation therapy apparatus in communication with the computer for applying the optimized radiation beam arrangement to the patient.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
28. The apparatus of claim 27, wherein the partial volume data is represented as a CDVH.	This limitation is disclosed or suggested by Hahn. For example:  See claim 26.  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
[not asserted] 33. [pre] A method of determining an	This limitation is disclosed or suggested by Hahn. For example:
optimized radiation beam arrangement for applying	See claims 1[pre], 22[pre], 25[pre].
radiation to at least one tumor target volume while minimizing radiation to at least one structure volume in a patient, comprising the steps of:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] distinguishing each of the at least one tumor target volume and each of the at least one structure volume by target or structure type, wherein the target or structure types are distinguished as either Biologically Uniform or Biologically Polymorphic;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] determining desired partial volume data for each of the at	This limitation is disclosed or suggested by Hahn. For example:
least one target volume and structure volume associated	See claim 1[b].
with a desired dose prescription;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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U.S. Patent No. 6,038,283	
Claim Element	Evidence of Invalidity
[c] entering the desired partial volume data into a computer;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] in response to the desired partial volume data and in response to the target or structure type of each of the at least one tumor target volume and each of the at least one structure volume, using the computer to computationally calculate an optimized radiation beam arrangement.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
34. The method of claim 33, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 40. [pre] A method of determining an	This limitation is disclosed or suggested by Hahn. For example:
optimized radiation beam arrangement for applying	See claims 1[pre], 22[pre], 25[pre], 33[pre].
radiation for at least one tumor target volume while minimizing radiation of at	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Claim Element	Evidence of Invalidity
least one structure volume in a patient, comprising the steps of:	
[a] determining desired partial volume data for each of the at least one target volume and structure volume associated with a desired dose prescription;	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] entering the desired partial volume data into a computer;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[c] in response to the desired partial volume data, using the computer to computationally approximate desired CDVHs for each of the at least one target and structure associated with the desired dose prescription; and	This limitation is disclosed or suggested by Hahn. For example:  See claim 1[b].  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[d] using the computer to computationally calculate the optimized radiation beam arrangement associated with	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Claim Element	Evidence of Invalidity
the CDVHs approximated by the computer.	
42. The method of claim 40, further comprising the step of applying the optimized radiation beam arrangement to the patient with a conformal radiation therapy apparatus.	This limitation is disclosed or suggested by Hahn. For example:  See claim 7.  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[not asserted] 44. [pre] The method of claim 40, wherein the CDVHs approximated by the computer are approximated by the steps of:	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[a] using the computer to computationally obtain a set of proposed beam weights;	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
[b] using the computer to computationally change the set of proposed beam weights iteratively, incorporating a cost function at each iteration to determine a cost of the change to the set of proposed beam weights; and	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.

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Claim Element	Evidence of Invalidity
[c] rejecting the change to the set of proposed beam weights if the change to the set of proposed beam weights leads to a lesser correspondence to the desired CDVHs and accepting the change to the set of proposed beam weights if the change to the set of proposed beam weights if the change to the set of proposed beam weights leads to a greater correspondence to the desired CDVHs.	To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.
46. The method of claim 44, further comprising the step of applying the optimized radiation beam arrangement to the patient with a	This limitation is disclosed or suggested by Hahn. For example:  See claim 7.  To the extent that this limitation is not fully disclosed or suggested by Hahn, this limitation would have been
conformal radiation therapy apparatus.	obvious to a person of ordinary skill in the art in combination with the general knowledge in the field and/or with any of the references identified in Appendix A of the Invalidity Contentions.